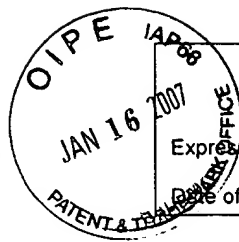


01-17-07

TFW

3628



**U.S.P.S. EXPRESS MAIL "POST OFFICE TO ADDRESSEE" SERVICE  
DEPOSIT INFORMATION**

Express Mail Label No.: EV 906 816 406 USDate of Deposit: January 16, 2007

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: R. Kaminsky et al.Appln. No.: 09/699,533Filed: October 30, 2000For: Automated Trading Exchange System And  
Method Utilizing Randomized Opening  
ProceduresExaminer: N. B. NguyenArt Unit: 3628Attorney Docket No: 11927/89

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL**

**Attached is/are:**

- ☒ Transmittal (in duplicate); Amendment; Three Drawing Replacement Sheets; One Annotated Sheet  
☐ Return Receipt Postcard

**Fee calculation:**

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
Total					\$			Total	
								\$	

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17, associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Date

1/16/2007

Respectfully submitted,

Kent E. Genin (Reg. No. 37,834)



"Express Mail" mailing label number EV 906 816 406 US

Date of Deposit: January 16, 2007

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Ross G. KAMINSKY, et al.

Appln. No.: 09/699,533

Filed: October 30, 2000

For: AUTOMATED TRADING  
EXCHANGE SYSTEM AND  
METHOD UTILIZING  
RANDOMIZED OPENING  
PROCEDURES

Examiner: Nga B. Nguyen

Art Unit: 3628

Attorney Docket No: 11927/89

**AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Ex Parte Quayle Action mailed on November 16, 2006,  
Applicants submit the following Amendments and Remarks. Applicants respectfully  
submit that the attached amendments fully address the formal issues raised in the  
November 16, 2006 Ex Parte Quayle Action.

BRINKS  
HOFFER  
GILSON  
& LIONE